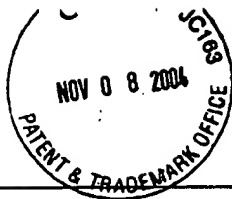
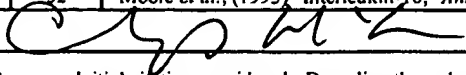
		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: GENITOPE-06493		Serial No.: 09/925,192		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicant: Dan W. Denney, Jr.				
(37 CFR § 1.98(b))				Filing Date: 08/09/01		Group Art Unit: 1642		
U.S. PATENT DOCUMENTS								
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
CY	1	5,122,464	06/16/92	Wilson <i>et al.</i>	435	172.3	10/10/90	
	2	4,683,195	07/28/87	Mullis <i>et al.</i>	435	6	02/07/86	
	3	4,683,202	07/28/87	Mullis	435	91	10/25/85	
	4	4,965,188	10/23/90	Mullis <i>et al.</i>	435	6	06/17/87	
	5	4,656,134	04/07/87	Ringold	435	91	04/12/85	
	6	5,043,270	08/27/91	Abrams <i>et al.</i>	435	69.1	03/31/89	
	7	4,399,216	08/16/83	Axel <i>et al.</i>	435	6	02/25/80	
	8	4,634,665	01/06/87	Axel <i>et al.</i>	435	68	08/11/83	
CY	9	5,179,017	01/12/93	Axel <i>et al.</i>	435	240.2	06/18/91	
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
CY	10	94/08601	04/28/94	PCT	A61K	37/00		
CY	11	91/13632	09/19/91	PCT	A61K	39/00		
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)								
CY	12	Walls <i>et al.</i> , (1989) "Amplification of Muticistronic Plasmids in the Human 293 Cell Line and Secretion of Correctly Processed Recombinant Human - Protein C," <i>Gene</i> 81:139-149;						
	13	Maniatis <i>et al.</i> , (1987) "Regulation of Inducible and Tissue-specific Gene Expression," <i>Science</i> 236:1237-1244;						
	14	Voss <i>et al.</i> , (1986) "The Role of Enhancers in the Regulation of Cell-Type-Specific Transcriptional Control," <i>Trends Biochem. Sci.</i> 11:287-289;						
	15	Dijkema <i>et al.</i> , (1985) "Cloning and Expression of the Chromosomal Immune Interferon Gene of the Rat," <i>EMBO J.</i> 4:761-767;						
	16	Uetsuki <i>et al.</i> , (1989) "Isolation and Characterization of the Human Chromosomal Gene for Polypeptide Chain Elongation Factor-1 α ," <i>J. Biol. Chem.</i> 264:5791-5798;						
	17	Kim <i>et al.</i> , (1990) "Use of the Human Elongation Factor 1 α Promoter as a Versatile and Efficient Expression System," <i>Gene</i> 91:217-223;						
	18	Mizushima and Nagata, (1990) "pEF-BOS, A Powerful Mammalian Expression Vector," <i>Nuc. Acids. Res.</i> , 18:5322;						
	19	Gorman <i>et al.</i> , (1982) "The Rous Sarcoma Virus Long Terminal Repeat is a Strong Promoter When Introduced into a Variety of Eukaryotic Cells by DNA-mediated Transfection," <i>Proc. Natl. Acad. Sci. USA</i> 79:6777-6781;						
	20	Boshart <i>et al.</i> , (1985) "A Very Strong Enhancer is Located Upstream of an Immediate Early Gene of Human Cytomegalovirus," <i>Cell</i> 41:521-530;						
	21	Sambrook <i>et al.</i> , (1989) <u>Molecular Cloning: A Laboratory Manual</u> , 2nd ed., Cold Spring Harbor Laboratory Press, New York pp. 16.6-16.8, 7.26-7.29, 9.16-9.23;						
	22	Schmike <i>et al.</i> , (1978) "Gene Amplification and Drug Resistance in Cultured Murine Cells," <i>Science</i> 202:1051-1055;						
	23	Kaufman, (1990) "Selection and Coamplification of Heterologous Genes in Mammalian Cells," <i>Methods in Enzymol.</i> , 185:537-565;						
	24	Bird <i>et al.</i> , (1988) "Single-Chain Antigen-Binding Proteins," <i>Science</i> 242:423-426;						
	25	Huston <i>et al.</i> , (1988) "Protein engineering of antibody binding sites: Recovery of specific activity in an anti-digoxin single-chain Fv analogue produced in <i>Escherichia coli</i> ," <i>Proc. Natl. Acad. Sci. USA</i> 85:5879-5883;						
CY	26	Bebbington <i>et al.</i> , (1992) "High-Level Expression Of A Recombinant Antibody From Myeloma Cells Using A Glutamine Synthetase Gene As An Amplifiable Selectable Marker," <i>Bio/Technology</i> 10:169-175;						
Examiner				Date Considered: 2/3/08				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								



FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: GENITOPE-06493		Serial No.: 09/925,192	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicant: Dan W. Denney, Jr.			
				Filing Date: 08/09/01		Group Art Unit: 1642	
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
CY	27	Dorai and Moore, (1987) "The Effect of Dihydrofolate Reductase-Mediated Gene Amplification on the Expression of Transfected Immunoglobulin Genes," <i>J. Immunol.</i> 139:4232-4241;					
	28	Ausubel <i>et al.</i> , (1995) <i>Current Protocols in Molecular Biology</i> , John Wiley & Sons, Inc., at 9.3.1 to 9.3.6;					
	29	Dijkema <i>et al.</i> (1985) "Cloning and expression of the chromosomal immune interferon gene of the rat," <i>EMBO J.</i> 4:761-767;					
	30	Takebe <i>et al.</i> , (1988) "SR α Promoter: An Efficient and Versatile Mammalian cDNA Expression System Composed of the Simian Virus 40 Early Promoter and the R-U5 Segment of Human T-Cell Leukemia Virus Type 1 Long Terminal Repeat," <i>Mol. Cell. Biol.</i> , 8:466-472;					
	31	Boshart <i>et al.</i> , (1985) "A Very Strong Enhancer Is Located Upstream of an Immediate Early Gene of Human Cytomegalovirus," <i>Cell</i> 41:521-530;					
	32	Graham, F.L. <i>et al.</i> , (1977) "Characteristics of a Human Cell Line Transformed by DNA From Human Adenovirus Type 5," <i>J. Gen. Virol.</i> , 36:59-72;					
	33	Harrison, T. <i>et al.</i> , (1977) "Host-Range Mutants of Adenovirus Type 5 Defective for Growth in HeLa Cells," <i>Virology</i> 77:319-329;					
	34	Graham, F.L. <i>et al.</i> , (1978) "Defective Transforming Capacity of Adenovirus Type 5 Host-Range Mutants," <i>Virology</i> 86:10-21;					
	35	Laimins <i>et al.</i> , (1984) "Host-Specific Activation of Transcription by Tandem Repeats from Simian Virus 40 and Moloney Murine Sarcoma Virus," <i>Proc. Natl. Acad. Sci. USA</i> 79:6453-6457;					
	36	Bimboim and Doly, (1979) "A Rapid Alkaline Extraction Procedure for Screening Recombinant plasmid DNA," <i>Nuc. Acids. Res.</i> , 7:1513-1523;					
	37	Kaufman and Sharp, (1982) "Amplification and Expression of Sequences Cotransfected with a Modular Dihydrofolate Reductase Complementary DNA Gene," <i>J. Mol. Biol.</i> 159:601-621;					
	38	Kaufman <i>et al.</i> , (1985) "Coamplification and Coexpression of Human Tissue-Type Plasminogen Activator and Murine Dihydrofolate Reductase Sequences in Chinese Hamster Ovary Cells," <i>Mol. Cell. Biol.</i> 5:1750-1759;					
	39	Toneguzzo <i>et al.</i> , (1988) "Electric Field-Mediated Gene Transfer: Characterization of DNA Transfer and Patterns of Integration In Lymphoid Cells," <i>Nucl. Acid Res.</i> 16:5515-5532;					
	40	Calos <i>et al.</i> , (1983) "High Mutation Frequency in DNA Transfected Into Mammalian Cells," <i>Proc. Natl. Acad. Sci. USA</i> 80:3015-3019;					
	41	Kopchick and Stacey, (1984) "Differences In Intracellular DNA Ligation After Microinjection and Transfection," <i>Mol. Cell. Biol.</i> 4:240-246;					
	42	Wake <i>et al.</i> (1984) "How Damaged is sThe Biologically Active subpopulation of Transfected DNA?," <i>Mol. Cell. Biol.</i> 4:387-398;					
	43	Lebkowski <i>et al.</i> , (1984) "Transfected DNA Is Mutated in Monkey, Mouse, and Human Cells," <i>Mol. Cell. Biol.</i> 4:1951-1960;					
	44	Drinkwater and Klinedinst, (1986) "Chemically Induced Mutagenesis In A Shuttle Vector With A Low-Background Mutant Frequency," <i>Proc. Natl. Acad. Sci. USA</i> 83:3402-3406;					
	45	Rice and Baltimore, (1982) "Regulated Expression Of An Immunoglobulin K Gene Introduced into A Mouse Lymphoid Cell Line," <i>Proc. Natl. Acad. Sci. USA</i> 79:7862-7865;					
	46	Oi <i>et al.</i> , (1983) "Immunoglobulin Gene Expression in Transformed Lymphoid Cells," <i>Proc. Natl. Acad. Sci. USA</i> 80:825-829;					
	47	Potter <i>et al.</i> , (1984) "Enhancer-Dependent Expression of Human K Immunoglobulin Genes Introduced Into Mouse pre-B Lymphocytes by Electroporation" <i>Proc. Natl. Acad. Sci. USA</i> 81: 7161-7165;					
	48	Boggs <i>et al.</i> , (1986) "Efficient Transformation and Frequent Single-Site, Single-Copy Insertion of DNA Can Be Obtained in Mouse Erythroleukemia Cells Transformed by Electroporation" <i>Exp. Hematol.</i> 14:988-994;					
	49	Toneguzzo <i>et al.</i> , (1986) "Electric Field-Mediated DNA Transfer: Transient and Stable Gene Expression in Human and Mouse Lymphoid Cells," <i>Mol. Cell. Biol.</i> 6:703-706;					
	50	Toneguzzo and Keating, (1986) "Stable Expression of Selectable Genes Introduced Into Human Hematopoietic Stem Cells By Electric Field-Mediated DNA Transfer," <i>Proc. Natl. Acad. Sci. USA</i> 83:3496-3499;					
	51	Chu <i>et al.</i> , (1987) "Electroporation For The Efficient Transfection of Mammalian Cells With DNA," <i>Nucl. Acids Res.</i> 15:1311-1326;					
CY	52	Moore <i>et al.</i> , (1993) "Interleukin-10," <i>Ann. Rev. Immunol.</i> 11: 165-190;					
Examiner: 				Date Considered: 2/3/08			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney Docket No.: GENITOPE-
06493

Serial No.: 09/925,192

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

Applicant: Dan W. Denney, Jr.

Filing Date: 08/09/01

Group Art Unit: 1642

(37 CFR § 1.98(b))

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

CY	53	Mosmann, (1994) "Properties and Functions of Interleukin-10" <i>Advances in Immunol.</i> 56:1-26;
	54	Bromberg, (1995) "IL-10 Immunosuppression in Transplantation," <i>Curr. Op. Immunol.</i> 7:639-643;
	55	Sharma <i>et al.</i> , (1991) "Antigen-Specific Therapy of Experimental Allergic Encephalomyelitis by Soluble Class II Major Histocompatibility Complex-Peptide Complexes" <i>Proc. Natl. Acad. Sci. USA</i> 88:11465-11469;
	56	Tonegawa, (1983) "Somatic generation of antibody diversity," <i>Nature</i> 302:575-581;
	57	Teilland <i>et al.</i> , (1983) "Monoclonal Antibodies Reveal the Structural Basis of Antibody Diversity," <i>Science</i> 222:721-726;
	58	Griffiths <i>et al.</i> , (1984) "Somatic mutation and the maturation of immune response to 2-phenyl oxazolone," <i>Nature</i> 312:271-275;
	59	Clarke <i>et al.</i> , (1985) "Inter- and Intracloal Diversity in the Antibody Response to Influenza Hemagglutinin," <i>J. Exp. Med.</i> 161:687-704;
	60	Cleary <i>et al.</i> (1986) "Clustering of Extensive Somatic Mutations in the Variable Region of an Immunoglobulin Heavy Chain Gene from a Human B Cell Lymphoma," <i>Cell</i> 44:97;
	61	Levy <i>et al.</i> (1988) "Mutational Hot Spots in Ig V Region Genes of Human Follicular Lymphomas," <i>J. Exp. Med.</i> 168:475-489;
	62	Bahler and Levy, (1992) "Clonal evolution of a follicular lymphoma: Evidence for antigen selection," <i>Proc. Natl. Acad. Sci. USA</i> 89:6770-6774;
	63	Zelentz <i>et al.</i> , (1992) "Clonal Expansion in Follicular Lymphoma Occurs Subsequent to Antigenic Selection," <i>J. Exp. Med.</i> 176:1137-1148;
	64	Zhu <i>et al.</i> , (1994) "Clonal history of a human follicular lymphoma as revealed in the immunoglobulin variable region genes," <i>Brit. J. Haematol.</i> 86:505-512;
	65	Okayama and Berg, (1983) "A cDNA Cloning Vector That Permits Expression of cDNA Inserts in Mammalian Cells," <i>Mol. Cell. Biol.</i> , 3:280-289;
	66	Shinnick <i>et al.</i> , (1981) "Nucleotide Sequence of Moloney Murine Leukaemia Virus," <i>Nature</i> 293:543-548;
	67	Allison <i>et al.</i> , (1982) "Tumor-Specific Antigen of Murine T-Lymphoma Defined with Monoclonal Antibody," <i>J. Immunol.</i> , 129:2293-2300;
	68	Huynh, <i>et al.</i> , (1985) "Constructing and Screening cDNA Libraries in λ gt10 and λ gt11 in DNA Cloning: A Practical Approach," (D.M. Glover, ed.), Vol. 1, IRL Press Oxford, pp. 49-78;
	69	Jolly <i>et al.</i> , (1983) "Isolation and Characterization of a Full-Length Expressable cDNA for Human Hypoxanthine Phosphoribosyltransferase," <i>Proc. Natl. Acad. Sci. USA</i> 80:477-481;
	70	Saiki <i>et al.</i> , (1988) "Primer-Directed Enzymatic Amplification of DNA with a Thermostable DNA Polymerase," <i>Science</i> 239:487-491;
	71	Elliott <i>et al.</i> , (1990) "Genes for Plasmodium Falciparum Surface Antigens Cloned by Expression in COS Cells," <i>Proc. Natl. Acad. Sci. USA</i> 87:6363-6367;
	72	Seed, (1987) "An LFA-3cDNA Encodes a Phospholipid Linked Membrane Protein Homologous To Its Receptor CD2," <i>Nature</i> 329:840-842;
	73	Moore <i>et al.</i> , (1990) "Homology of Cytokine Synthesis Inhibitory Factor (IL-10) To The Epstein-Barr Virus Gene BCRF1," <i>Science</i> 248:1230-1234;
	74	Hoopes and McClure, (1988), "Studies on the Selectivity of DNA Precipitation by Spermine," <i>Nucleic Acids Res.</i> 9:5493-5504;
	75	Caras <i>et al.</i> , (1987) "Cloning Of Decay-Accelerating Factor Suggests Novel Use Of Splicing To Generate Two Proteins," <i>Nature</i> 325:545-548;
	76	Caras <i>et al.</i> , (1987) "Signal For Attachment of a Phospholipid Membrane Anchor in Decay Accelerating Factor," <i>Science</i> 238:1280-1282;
	77	Kupke <i>et al.</i> , (1989) "Improved Purification and Biochemical Properties of Phosphatidylinositol-Specific Phospholipase C From <i>Bacillus Thuringiensis</i> " <i>Eur. J. Biochem.</i> 185:151-155;
CY	78	Stetler <i>et al.</i> , (1982) "Isolation of a cDNA Clone for the Human HLA-DR Antigen α Chain by Using a Synthetic Oligonucleotide as a Hybridization Probe," <i>Proc. Natl. Acad. Sci. USA</i> 79:5966-5970;

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: GENITOPE-06493	Serial No.: 09/925,192
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicant: Dan W. Denney, Jr.	
				Filing Date: 08/09/01	Group Art Unit: 1642
(37 CFR § 1.98(b))					
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)					
CY	79	Kunkel <i>et al.</i> , (1987) "Rapid and Efficient Site-Specific Mutagenesis Without Phenotypic Selection," <i>Methods in Enzymology</i> 154:367-382;			
	80	Russel <i>et al.</i> , (1986) "An Improved Filamentous Helper Phage for Generating Single-Stranded Plasmid DNA," <i>Gene</i> 45:333-338;			
	81	Bell <i>et al.</i> , (1985) "DNA Sequence and Characterization of Human Class II Major Histocompatibility Complex β Chains From the DR1 Haplotype," <i>Proc. Natl. Acad. Sci. USA</i> 82:3405-3409;			
	82	Mosmann <i>et al.</i> , (1990) "Isolation of Monoclonal Antibodies Specific for IL-4, IL-5, IL-6, and a New Th2-Specific Cytokine (IL-10), Cytokine Synthesis Inhibitory Factor, By Using a Solid Phase Radioimmunoassay," <i>J. Immunol.</i> 145:2938-2945;			
	83	Cloning by Limiting Dilution, in <i>Current Protocols in Immunology</i> (J.E. Coligan <i>et al.</i> , eds.) John Wiley & Sons, New York, section 2.5.10-2.5.11; (1987)			
	84	Lampson and Levy (1980) "Two Populations of Ia-Like Molecules on a Human B Cell Line," <i>J. Immunol.</i> , 125:293-299;			
	85	Harlow and Lane, (1988) eds., <i>Antibodies: A Laboratory Manual</i> , Cold Spring Harbor Press, New York, pp. 272, 276, 341;			
	86	Kwak <i>et al.</i> , (1992) "Induction of Immune Responses in Patients With B-Cell Lymphoma Against The Surface-Immunoglobulin Idiotypic Expressed By their Tumors," <i>N. Engl. J. Med.</i> 327:1209-1215;			
	87	Hsu <i>et al.</i> , (1996) "Vaccination of Patients with B-Cell Lymphoma Using Autologous Antigen-Pulsed Dendritic Cells," <i>Nature Med.</i> 2:52-58;			
	88	Cosson and Bonifacino, (1992) "Role of Transmembrane Domain Interactions in the Assembly of Class II MHC Molecules," <i>Science</i> 258:659-662;			
	89	Vu <i>et al.</i> , (1991) "Molecular Cloning of a Functional Thrombin Receptor Reveals a Novel Proteolytic Mechanism of Receptor Activation," <i>Cell</i> 64:1057-1068;			
	90	Vu <i>et al.</i> , (1991) "Domains Specifying Thrombin-Receptor Interaction," <i>Nature</i> 353:674-677;			
	91	Haas <i>et al.</i> , (1996) "Codon usage limitation in the expression of HIV-1 envelope glycoprotein," <i>Curr. Biol.</i> 6:315-324;			
	92	Zolotukhin <i>et al.</i> , (1996) "A 'Humanized' Green Fluorescent Protein cDNA Adapted for High-Level Expression in Mammalian Cells," <i>J. Virol.</i> 70:4646-4654;			
	93	Tao and Levy, (1993) "Idiotypic/granulocyte-macrophage colony-stimulating factor fusion protein as a vaccine for B-cell lymphoma," <i>Nature</i> 362:755-758;			
	94	Chen <i>et al.</i> , (1994) "Idiotypic-Cytokine Fusion Proteins as Cancer Vaccines: Relative Efficacy of IL-2, IL-4, and Granulocyte-Macrophage Colony-Stimulating Factor," <i>J. Immunol.</i> 153:4775-4787;			
	95	Mehta-Damani <i>et al.</i> , (1994) "Generation of Antigen-Specific CD8+ CTLs from Naive Precursors," <i>J. Immunol.</i> 153:996-1003;			
	96	Takamizawa <i>et al.</i> , (1995) "Cellular and Molecular Basis of Human $\gamma\delta$ T Cell Activation: Role of Accessory Molecules in Alloactivation," <i>J. Clin. Invest.</i> 95:296-303;			
	97	Kane <i>et al.</i> , "Use of a Cloned Multidrug Resistance Gene for Coamplification and Overprotection of Major Excreted Protein, a transformation-Regulated Secreted Acid Protease," <i>Mol. Cell. Biol.</i> 8:3316 (1988);			
	98	Cockett <i>et al.</i> , "High Level Expression Of Tissue Inhibitor Of Metalloproteinases In Chinese Hamster Ovary Cells Using Glutamine Synthetase Gene Amplification," <i>Bio/Technology</i> 8:662 (1990);			
	99	Bebington, "Use of vectors based on gene amplification for the expression of cloned genes in mammalian cell," In: <i>DNA Cloning 4, A Practical Approach</i> , Glower and Hames, eds., Oxford University Press pp. 85-11 (1995);			
	100	Chiang and McConlogue, "Amplification and Expression of Heterologous Ornithine Decarboxylase in Chinese Hamster Ovary Cells," <i>Mol. Cell. Biol.</i> 8:764 (1988)			
	101	Reff <i>et al.</i> , "Depletion of B Cells In Vivo by a Chimeric Mouse Human monoclonal Antibody to CD20," <i>Blood</i> 83:435 (1994);			
	102	Page and Sydenham, "High Level Expression of the Humanized Monoclonal Antibody Campath-1H in Chinese Hamster Ovary Cells," <i>Bio/Technology</i> 9:64 (1991);			
CY	103	Kim and Wold, "Stable Reduction of Thymidine Kinase Activity in Cells Expressing High Levels of Anti-Sense RNA," <i>Cell</i> 42:129 (1985);			
Examiner:		Date Considered:		2/3/08	
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.